

## Sales of Stocks and Securities

ORG21

**Attach all copies of Forms 1099-B and/or 1099-S here.**

**Yes No**

- |  |                          |                          |
|--|--------------------------|--------------------------|
| <b>1</b> Did you exchange any securities for other securities or any other property held for investment? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>2</b> Did you acquire stock identical to stock sold at a loss within a period beginning 30 days prior to and ending 30 days after the date of the sale? ..... | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>3</b> Did you engage in any transactions involving traded options? .....  | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>4</b> Did you engage in any transactions involving commodity future contracts and straddle positions? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>5</b> Did you engage in any transactions involving <i>employee</i> stock options? .....   | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>6</b> Schedule D included in the 2015 Federal income tax return? .....  | <input type="checkbox"/> | <input type="checkbox"/> |

Do not include installment sales transactions here. Complete information on Installment Sales Income (ORG23) instead. See notes below for entries to be made on lines 1d, 4a, 4b and 5

### FORMS 1099-B, 1099-S – SALES OF STOCKS, BONDS, REAL ESTATE, ETC.

Transaction number..... \_\_\_\_\_

**1a** Check if this sale was reported to you on Form 1099-B or substitute statement .....

**b If so**, check if Box 6a is marked (i.e., this is the sale of noncovered security).....

**c If so**, check if Box 6b is marked (i.e., the basis amount was reported to the IRS).....

**d If so**, select type of gain (loss) indicated in Box 1c \*.....

**2** Description of property .....

**3a** Date acquired ..... **b** Date sold .....

**4a** Type of transaction \*\*\* ..... **b** Property ownership \*\* .....

**5** Holding period \* .....

**6** Sales price .....

**7** Cost or other basis .....

**8** Wash sale loss disallowed .....

**9** Federal Tax withheld (if any) .....

**10a** State..... **b** State identification ..... **c** State tax withheld .....

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**\* Type of Holding Period**

S = Short-term (one year or less)  
L = Long-term (more than one year)

**\*\* Type of Ownership**

T = Taxpayer Ownership  
S = Spouse Ownership  
J = Joint Ownership

**\*\*\* Type of Transaction**

S = Regular Sale of Stocks, Bonds, etc  
W = Wash Sale  
M = Collectible (28% Rate)  
P = Personal Loss on Noninvestment Property  
X = Expired (options, etc)

O = Worthless Securities  
K = Bankrupt  
N = Nonbusiness Bad Debt  
E = Stock sales to ESOP's or EWOC's